



INFORMATIONAL DOCUMENT FOR AUDIT COMMITTEE

Whistleblower Hotline

Dante Robinson, Chief of Internal Affairs

2012 3rd Quarter Whistleblower Hotline Reports

Period from 7/1/2012 to 9/30/2012

Count of Source Topic	Source		Grand Total
	Ethics Hotline	Integrity in Action	
Claims Issue		1	1
Code of Conduct		1	1
Corporate Policies and Procedures	3	1	4
Employee Relations		1	1
Hiring Practices		3	3
HR-Misuse/Theft of Time	2	2	4
Improper Supplier or Contractor Activity	1		1
Misuse/Abuse of Time & Resources	1		1
Other	2		2
Performance Development		1	1
Retaliation	1		1
Work Environment	2		2
Grand Total	12	10	22

Integrity in Action Effective through 7/1/2012 through 9/3/2012
 Ethics Hotline Effective Date 9/4/2012 through 9/30/2012

- One additional report was received outside the hotline in which the reporter specifically requested protection under the California Whistleblower's Protection Act.¹ An internal investigation was conducted under attorney-client privilege. An investigation was completed and the report referred to Organizational Development - Performance Development for further action.²

¹ State employees who report improper activities are protected from retaliation pursuant to California Law [CA Gov't Code §§ 8547 et seq.]

² 062012-WB1/EE-12