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Date: January 5, 2009

**AGENDA ITEM 7**

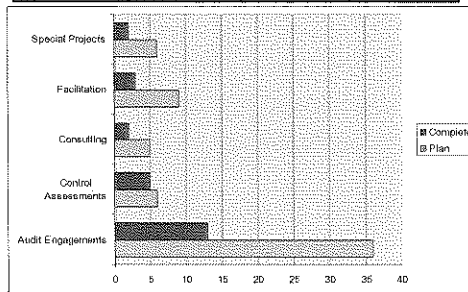
**TO: MEMBERS OF THE AUDIT COMMITTEE**

- I. SUBJECT: Completed Projects Report**
- II. PROGRAM: Internal Audit**
- III. RECOMMENDATION: Information**
- IV. ANALYSIS:**

As required by the Audit Committee and Internal Audit Activity charters and International Standards for the Professional Practice of Internal Auditing Performance Standard 2060, the Internal Audit department presents its Completed Projects Report. The following provides status of completed audit services as of December 22, 2008. Attachment 7-I provides status information by engagement or project.

Projects undertaken by Internal Audit include audit engagements, investigations, facilitation of examinations by external entities and State Fund's independent financial auditor, and value added services such as consulting and advisory engagements, special management projects, and monitoring progress of engagement recommendations.

<b>Service</b>	<b>Plan</b>	<b>Complete</b>
Audit Engagements	36	13
Control Assessments	6	5
Consulting	5	2
Facilitation	9	3
Special Projects	6	2



**V. RESULTS/COSTS:**

This is an informational item. Costs are related to the staff time required to compile, maintain, and produce information for the report.

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LISA MIDDLETON, Senior Vice President Internal Affairs  
BARBARA SIMMONS, Internal Audit Manager

Attachment

AUDIT COMMITTEE MEETING (OPEN )

AGENDA ITEM 7

ATTACHMENT 7-I

INTERNAL AUDIT COMPLETED PROJECTS REPORT

PRESENTED BY: Lisa Middleton, Senior Vice President Internal Affairs

January 15, 2009

INTERNAL AUDIT  
COMPLETED PROJECTS

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**STATE**  
COMPENSATION  
INSURANCE  
**FUND**

Audit Committee  
ATTACHMENT: 7-1 Open  
January 5, 2009

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## A. AUDIT SERVICES

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Item	Plan Year	AUDIT TOPIC	STATUS
1.2	2007	Injury Date of Knowledge	Completed
1.3	2007	Broker Commission	Completed
1.4	2007	California Whistleblower Protection Act Compliance Audit	Completed
1.6	2007	Project Services Office	Completed
1.10	2008	Information Security - Pleasanton	Completed
1.11	2008	Information Security - Oxnard	Completed
1.12	2008	Continuous - New Case Make-up	Completed
1.13	2008	Utilization Review	Completed
1.14	2008	Operational Claims Audit - Bakersfield	Completed
1.15	2008	Operational Claims Audit - Oxnard	Completed
1.17	2008	Bidding Process	Completed
1.29	2008	Capacity Planning & Monitoring	Completed

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## B. CONTROL ASSESSMENTS

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Item	Plan Year	SERVICE TOPIC	STATUS
2.1	2008	Information Security	Completed
2.2	2008	Estimate and Indemnity - Central Valley North: Sacramento	Completed
2.3	2008	Estimate and Indemnity - Orange County	Completed
2.4	2008	Estimate and Indemnity - Central Valley North: Stockton	Completed
2.5	2008	Fiscal Security - Stockton District	Completed

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## C. FACILITATION & CONSULTING

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Item	Plan Year	SERVICE TOPIC	STATUS
3.1	2007 2008	Consulting: Executive Decision Support System Project	Completed
3.4	2007 2008	Consulting: Office of Foreign Assets Control	Completed
3.6	2007	Facilitation: Department of Insurance Medical Payment Accuracy Study	Completed
3.7	2008	Facilitation: Department of Insurance Premium Tax Audit	Completed
3.12	2008	Facilitation: Independent Financial Audit 2007 FY	Completed

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## D. SPECIAL PROJECTS

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Item	TOPIC	STATUS
4.1	Promotional Item Inventory	Completed
4.4	California Public Records Act - Internal Response Team	Completed

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