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Date: November 10, 2008

AGENDA ITEM 9 OPEN SESSION
TO: MEMBERS OF THE AUDIT COMMITTEE

I. SUBJECT: Completed Projects Report

II. PROGRAM: INTERNAL AUDIT

III. RECOMMENDATION: Information only

#### IV. SUMMARY:

As required by the Audit Committee and Internal Audit Activity charters and International Standards for the Professional Practice of Internal Auditing Performance Standard 2060, the Internal Audit department presents its Completed Projects Report. The following provides status of completed audit services as of September 30, 2008. Attachment 1.AC provides status information by engagement or project.

Projects undertaken by Internal Audit include audit engagements, investigations, facilitation of examinations by external entities and State Fund's independent financial auditor, and value added services such as consulting, special management projects and monitoring progress of engagement recommendations.

Service Plan Complete In Progress Calendared

Audit Engagements	30	13	11	
Control Assessments	5	4	1	
Consulting	9	3	6	
Facilitation	9	3	6	
Special Projects	6	1	5	
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#### V. RESULTS/COSTS:

This is an informational item. Costs are related to the staff time required to compile, maintain, and produce information for the report.

LISA MIDDLETON, Senior Vice President Internal Affairs BARBARA SIMMONS, Internal Audit Manager

Attachment

#### **AUDIT COMMITTEE MEETING**

**AGENDA ITEM 9** 

**ATTACHMENT 1** 

INTERNAL AUDIT COMPLETED PROJECTS

PRESENTED BY: LISA MIDDLETON and BARBARA SIMMONS

**NOVEMBER 20, 2008** 

# : INTERNAL AUDIT COMPLETED PROJECTS



Audit Committee ATTACHMENT: 1 November 10, 2008

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#### A. AUDIT SERVICES

Item	Plan	AUDIT TOPIC	STATUS
	Year		
1.2	2007	Injury Date of Knowledge	Completed
1.3	2007	Broker Commission	Completed
1.4	2007	CWPA Compliance Audit	Completed
1.5	2007	Procurement	Completed
1.6	2007	Project Services Office	Completed
1.8	2008	Group Programs	Completed
1.10	2008	Information Security - Pleasanton	Completed
1.11	2008	Information Security - Oxnard	Completed
1.12	2008	Continuous - New Case Make-up	Completed
1.14	2008	Operational Claims Audit - Bakersfield	Completed
1.15	2008	Operational Claims Audit - Oxnard	Completed
1.17	2008	Bidding Process	Completed
1.29	2008	Capacity Planning & Monitoring	Completed

## **B. CONTROL ASSESSMENTS**

Item	Plan Year	SERVICE TOPIC	STATUS
2.1	2008	Information Security	Completed
2.2	2008	Estimate and Indemnity - Central Valley North: Sacramento	Completed
2.4	2008	Estimate and Indemnity - Central Valley North: Stockton	Completed
2.5	2008	Fiscal Security - Stockton District	Completed

### C. FACILITATION & CONSULTING

Item	Plan Year	SERVICE TOPIC	STATUS
3.1	2007 2008	Consulting: EDSS Project	Completed
3.6	2007	Facilitation: CDI Medical Payment Accuracy Study	Completed
3.7	2008	Facilitation: CDI Premium Tax Audit	Completed
3.12	2008	Facilitation: Independent Financial Audit 2007 FY	Completed

## D. SPECIAL PROJECTS

Item	TOPIC	STATUS
4.1	Promotional Item Inventory	Completed