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Date: May 4, 2012

**AGENDA ITEM 3b**

**TO: MEMBERS, BOARD OF DIRECTORS**

- I. SUBJECT:** BOARD OF DIRECTORS TRAINING PROVIDER OPTIONS
- II. PROGRAM:** LEARNING & DEVELOPMENT
- III. RECOMMENDATION:** RECOMMENDATION TO THE BOARD OF DIRECTORS TO APPROVE TRAINING PROVIDER OPTIONS

**IV. ANALYSIS:**

Board of Directors training should occur on a regular basis to comply with Statutory Requirements under the Insurance Code. A list of training providers gives Board Members choice and flexibility to obtain the required training at various events and locations. We recommend the Board of Directors approve the list of provider options.

**V. RESULTS/COSTS:**

An approved list of training providers allows flexibility for Board Members to attend outside training opportunities and fulfills a responsibility of the Board of Directors and a Statutory Requirement.

*Valerie Lampson*

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Learning and Development Program Manager

Attachment

Provider	Start Date	End Date	Cost	Topics	Subject
<b>Compliance Week</b> <b>Event at:</b> The Mayflower Hotel Washington, D.C. <a href="http://www.complianceweek.com">www.complianceweek.com</a>	6/04/2012	6/06/2012	\$1,895 mem \$2,295 non-mem	<b>Compliance Week 7th Annual Conference:</b> A Conference for corporate financial, legal, risk, audit, and compliance executives at public companies. Session topics include: Running the Modern Audit Function, Integrated Risk Management: Aligning Risk Functions with Operations, Industry Group: Financial Services	Compliance/Ethics
<b>NACD Chapter Sponsor-KPMG-Audit Committee Institute Roundtable Series</b> <b>Event at:</b> Jonathan Club Los Angeles, CA <a href="http://www.seeuthere.com">www.seeuthere.com</a>	6/7/2012 7:30 am	6/7/2012 10:30 am	No Charge	<b>KPMG Spring 2012 Audit Committee roundtable Series - "Is Governance Keeping Pace?"</b> - In light of the dramatic, ongoing changes and uncertainties in the business and risk environments – globalization, regulation, emerging technologies, organizational changes, and more – a key concern for audit committees and boards today is whether the company's governance is keeping up with the complexity and pace of global business. This session will delve into the governance challenge from a number of perspectives, including: Understanding whether "core governance processes" – board oversight, strategic planning and execution, risk management, and internal controls (financial, operational, compliance) – are keeping pace with the dynamic business environment. Developing a "single view" and an integrated approach to governance that addresses the critical business issues confronting companies today. The role of the audit committee/board in setting expectations for an integrated governance approach that connects with strategy and addresses the complexity, pace, and uncertainty of the global business arena. We'll also provide up	Governance
<b>Society of Corporate Compliance and Ethics (SCCE)</b> <b>Event at:</b> Scottsdale, AZ <a href="http://www.corporatecompliance.org">www.corporatecompliance.org</a>	6/11/2012	6/14/2012	\$2,500 mem \$3,000 non-mem	<b>Basic Compliance &amp; Ethics Academy:</b> The Compliance Academy is a four-day intensive training course designed for participants with a basic knowledge of compliance concepts. The Academy covers specific subject matter in depth and is a great preparation course for the CCEP exam.	Compliance/Ethics
<b>NACD</b> <b>Event at:</b> Waldolf Asteria New York, NY <a href="http://www.nacdonline.org">www.nacdonline.org</a>	06/11/12	06/12/12	\$1,495 members	<b>NACD Directorship Spring Form:</b> Through attendance you should be able to: 1) Increase your value in strategic discussions and decision-making through a better understanding of key economic, regulatory, legislative and environmental challenges 2) Shape future board composition, by helping your board to identify needed skill sets and innovative ways to find high-performing directors 3) Create strategies for addressing and limiting director liability as a result of increased awareness of the challenges facing fiduciaries 4) Examine the behaviors and processes of your board and management, determining ways to improve the quality and efficiency of communications and information flow 5) Influence agenda-setting with the C-suite to ensure that your board is spending time on "the right stuff" 6) Build contacts across the director, C-suite and wider governance communities to support your own board leadership	Governance
<b>NACD Chapter Sponsor-KPMG-Audit Committee Institute Roundtable Series</b> <b>Event at:</b> KPMG Silicon Valley Office Santa Clara, CA <a href="http://www.seeuthere.com">www.seeuthere.com</a>	6/21/2012 7:30 am	6/21/2012 10:30 am	No Charge	<b>KPMG Spring 2012 Audit Committee roundtable Series - "Is Governance Keeping Pace?"</b> - In light of the dramatic, ongoing changes and uncertainties in the business and risk environments – globalization, regulation, emerging technologies, organizational changes, and more – a key concern for audit committees and boards today is whether the company's governance is keeping up with the complexity and pace of global business. This session will delve into the governance challenge from a number of perspectives, including: Understanding whether "core governance processes" – board oversight, strategic planning and execution, risk management, and internal controls (financial, operational, compliance) – are keeping pace with the dynamic business environment. Developing a "single view" and an integrated approach to governance that addresses the critical business issues confronting companies today. The role of the audit committee/board in setting expectations for an integrated governance approach that connects with strategy and addresses the complexity, pace, and uncertainty of the global business arena. We'll also provide up	Governance

STATE FUND  
Board Training Opportunities

PRE-APPROVED EDUCATIONAL CONFERENCES

Provider	Start Date	End Date	Cost	Topics	Subject
<b>West Coast Regional Compliance Conference</b> Event at: San Francisco, CA <a href="http://www.corporatecompliance.org">www.corporatecompliance.org</a>	6/22/2012	6/22/2012	\$175 mem \$220 non-mem	<b>West Coast Regional Compliance Conference:</b> Agenda: 1) Determining the effectiveness and ROI of your GRC program 2) Practical considerations and applications of analytics in compliance programs 3) Understanding wage and hour threats from a compliance perspective 4) Nuts and bolts of third-party management 5) Game changing developments in privacy and data breaches.	Compliance/Ethics
<b>ISACA (Information systems audit and Control Association)</b> Event at: Palace Hotel San Francisco, CA <a href="http://www.isaca.org">www.isaca.org</a>	6/25/2012	6/27/2012	\$1,750 mem \$1,950 non-mem	<b>ISACA/s World Congress Insights 2012:</b> Insights is ISACA's most exclusive event designed for progressive IT and business leaders, giving you the insights needed to develop strategies for effective integration of business and technology.	Information Technology
<b>Society of Corporate Compliance and Ethics (SCCE)</b> Event at: San Diego, CA <a href="http://www.corporatecompliance.org">www.corporatecompliance.org</a>	6/25/2012	6/28/2012	\$2,500 mem \$3,000 non-mem	<b>Basic Compliance &amp; Ethics Academy:</b> The Compliance Academy is a four-day intensive training course designed for participants with a basic knowledge of compliance concepts. The Academy covers specific subject matter in depth and is a great preparation course for the CCEP exam.	Compliance/Ethics
<b>National Society of Governance Professionals</b> Event at: J W Marriott Hotel Washington, DC <a href="http://www.governanceprofessionals.org">www.governanceprofessionals.org</a>	07/11/12	07/14/12	\$1,145 mem \$1,395 non-mem	<b>National Conference</b> is structured around business sessions, break-out sessions include "The Shape of Things to Come" in governance for public, private and non-profit companies of all sizes.	Governance
<b>ASCIF 2012 Conference</b> Event at: Portland, Or <a href="http://www.aascif2012.com">www.aascif2012.com</a>	07/22/12	07/25/12	\$650 member \$725 non-mem	<b>Topics Include:</b> 1) Challenge of Change 2) Power of Relationships 3) Focusing on fitter employees for thinner workers' comp costs 4) Rediscovering play: bringing fun and passion to your work... and life 5) Crossing the generational divide.	Insurance
<b>California Workers' Comp Forum</b> Event at: The Loews Coronado Bay, San Diego, CA <a href="http://www.cawcforum.com">www.cawcforum.com</a>	8/6/2012	8/7/2012	\$999 Conf \$1199 conf+workshop	<b>10th Annual California Workers' Comp Forum:</b> The 2012 conference promises to bring you the innovative cost-containment solutions and straight-forward answers to the vital workers' compensation issues facing employers across the state of California.	Insurance
<b>Society of Corporate Compliance and Ethics (SCCE)</b> Event at: Boston, MA <a href="http://www.corporatecompliance.org">www.corporatecompliance.org</a>	8/13/2012	8/16/2012	\$2,500 mem \$3,000 non-mem	<b>Basic Compliance &amp; Ethics Academy:</b> The Compliance Academy is a four-day intensive training course designed for participants with a basic knowledge of compliance concepts. The Academy covers specific subject matter in depth and is a great preparation course for the CCEP exam.	Compliance/Ethics
<b>National Association of Mutual Insurance Companies (NAMIC)</b> Event at: Gaylord Texan Hotel, Grapevine, TX <a href="http://www.namic.org">www.namic.org</a>	9/16/2012	9/19/2012	\$645 mem \$845 non-mem	<b>NAMIC's 117th Annual Convention:</b> The NAMIC Annual Convention and Exhibit Show is the place where the industry comes together! Where you can take advantage of networking with your peers, receive updates on industry breaking news and trends, and meet with reinsurers and rating bureaus.	Insurance
<b>The California Workers' Compensation &amp; Risk Conference</b> Event at: St. Regis Hotel, Dana Point CA <a href="http://www.cwcriskconference.org">www.cwcriskconference.org</a>	9/19/12	9/21/12	\$499 before 2/27/2012	Conference Goals: The top risk professionals will gather together to share experiences and gain expertise. This strong educational program will focus on cutting-edge risk management strategies and the latest hot topics. There will be several panel discussions from 50 leading industry experts. There will be great networking opportunities with industry experts and service providers.	Insurance

STATE FUND  
Board Training Opportunities

Provider	Start Date	End Date	Cost	Topics	Subject
<b>NACD</b> Event at: National Harbor, MD <a href="http://www.nacdonline.org">www.nacdonline.org</a>	10/14/12	10/16/12	\$2,795 members	<b>2012 NACD Board Leadership Conference:</b> The NACD Board Leadership Conference is the exclusive event for a director knowledge exchange. It's full agenda of panels, keynotes, peer-collaboration sessions, workshops and networking opportunities allows the company directors who convene each year to address emerging issues, share new ideas and further the pursuit of exemplary board leadership. It's the opportunity to hear from the true experts in corporate governance, who have developed leading practices in order to meet real boardroom challenges. This event is developed by directors, for directors.	Governance
<b>Society of Corporate Compliance and Ethics (SCCE)</b> Event at: Las Vegas, NV <a href="http://www.corporatecompliance.org">www.corporatecompliance.org</a>	10/14/2012	10/17/2012	\$999 members \$1,149 non-mem	<b>11th Annual Compliance &amp; Ethics Institute:</b> SCCE's Annual Compliance & Ethics Institute is the primary education and networking event for professionals working in compliance and ethics around the world. At this meeting, learn the latest methods and strategies for developing and improving your compliance program in this rapidly growing and evolving profession.	Compliance/Ethics
<b>Society of Corporate Compliance and Ethics (SCCE)</b> Event at: Orlando, FL <a href="http://www.corporatecompliance.org">www.corporatecompliance.org</a>	11/12/2012	11/15/2012	\$2,500 mem \$3,000 non-mem	<b>Basic Compliance &amp; Ethics Academy:</b> The Compliance Academy is a four-day intensive training course designed for participants with a basic knowledge of compliance concepts. The Academy covers specific subject matter in depth and is a great preparation course for the CCEP exam.	Compliance/Ethics
<b>ISACA (Information systems audit and Control Association)</b> Event at: Mirage Casino-Hotel Las Vegas, NV <a href="http://www.isaca.org">www.isaca.org</a>	11/14/2012	11/16/2012	\$1,550 mem \$1,950 non-mem	<b>North America ISRM / IT GRC:</b> North America ISRM / ITGRC is a quality professional development opportunity, where you can: Expand your networking opportunities, Build a stronger IT community, Increase your member and vendor contacts, Interact with speakers who provide insight on IT audit and related topics.	Information Technology
<b>NACD</b> Event at: Marriott Marquis New York, NY <a href="http://www.nacdonline.org">www.nacdonline.org</a>	11/27/12	11/27/12	\$1,195 members	<b>2012 NACD Directorship 100 forum: Reinvigorating America -</b> Get a behind-the-scenes look at the most significant events of the year, talk candidly about the ways we can bolster the economy through board leadership and innovation, and celebrate those that are already helping to make it happen with the 2012 NACD Directorship 100 Awards dinner gala.	Governance
<b>Society of Corporate Compliance and Ethics (SCCE)</b> Event at: San Diego, CA <a href="http://www.corporatecompliance.org">www.corporatecompliance.org</a>	12/10/2012	12/13/2012	\$2,500 mem \$3,000 non-mem	<b>Basic Compliance &amp; Ethics Academy:</b> The Compliance Academy is a four-day intensive training course designed for participants with a basic knowledge of compliance concepts. The Academy covers specific subject matter in depth and is a great preparation course for the CCEP exam.	Compliance/Ethics



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Date: May 4, 2012

**AGENDA ITEM 3b**

**TO: MEMBERS, BOARD OF DIRECTORS**

- I. SUBJECT:** Approval of Board Member Travel Expense Reimbursement in accordance with State Fund Board Member Education and Reimbursement Policy approved September 11, 2009.
- II. PROGRAM:** Financial Operations
- III. RECOMMENDATION:** Action: To approve.
- IV. RESULTS/COSTS:**

Attached report reflects reimbursements made to State Fund's Board of Directors from January 1, 2012 through April 20, 2012. All payments were made in accordance with the State Fund Board Member Education and Reimbursement Policy approved on September 11, 2009.

*Luz Blanco-Morales*

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Payable/Receivable Services Manager

Attachment

## BOARD OF DIRECTORS TRAVEL EXPENSE REIMBURSEMENTS JANUARY 1, 2012 - APRIL 30, 2012

Board of Director's Name	Invoice #	Check #	Check Date	Net Amount	Description
CHALUPA, SHERYL A	TR101116111811	1008372520	01/23/12	314.80	ATTEND AUDIT, GOVERNANCE & BOD MEETINGS - 11/17 TO 11/18/11 IN SAN FRANCISCO
CHALUPA, SHERYL A	TR1010215021712	1008376978	03/21/12	314.80	ATTEND AUDIT, GOVERNANCE & BOD MEETINGS - 2/16 TO 2/17/12 IN SAN FRANCISCO
GARCIA, DONALD E	TR1010215021712	1008376504	03/15/12	298.80	ATTEND INVESTMENT COMMITTEE & BOD MEETINGS - 2/16 TO 2/18/12 IN SAN FRANCISCO
GARCIA, DONALD E	TR1010329033012	1008378776	04/17/12	357.60	BOARD CONTINUING EDUCATION MEETING - 329 TO 3/30/12 IN BOYES HOT SPRINGS
MACHADO, MICHAEL J	TR1011214121411	1008372536	01/23/12	68.82	ATTEND SPECIAL MEETING OF BOD BY TELECONFERENCE - 12/14/11 IN SACRAMENTO
MACHADO, MICHAEL J	TR1010315031712	1008377798	04/03/12	110.34	ATTEND GOVERNANCE COMMITTEE & BOD MEETINGS - 2/16 TO 2/17/12 IN SAN FRANCISCO
QUINLAN, FRANCIS E	TR101116111811	1008372745	01/26/12	372.10	ATTEND AUDIT & INVESTMENT COMMITTEE & BOD MEETINGS - 11/17 TO 11/18/11 IN SAN FRANCISCO
RANK, STEVEN L	TR1011025111811	1008372746	01/26/12	376.14	MEET WITH MARIE WARDELL-SITE VISIT INTERNAL AUDIT VACAVILLE - 10/25/11; MEET WITH TOM ROWE - BOARD OF DIRECTORS COMMENTS - 11/2/11; ATTEND AUDIT & INVESTMENT COMMITTEE & BOD MEETINGS - 11/17 TO 11/18/11 IN SAN FRANCISCO
RANK, STEVEN L	TR1010215021712	1008376515	03/15/12	415.03	ATTEND AUDIT COMMITTEE & BOD MEETINGS - 2/16 TO 2/17/12 IN SAN FRANCISCO
RANKIN, THOMAS E	TR1011214122111	1008371554	01/09/12	93.32	ATTEND BOD MEETING -12/14/11 IN SACRAMENTO & MEETING WITH TOM ROWE - 12/21/11 IN SAN FRANCISCO
RANKIN, THOMAS E	TR1011104111811	1008371554	01/09/12	114.85	ATTEND GOVERNANCE COMMITTEE & BOD MEETINGS - 11/17 TO 11/18/12 IN SAN FRANCISCO; MEETING WITH CALPERS & STANFORD PAIN MANAGEMENT DOCTORS - 11/14/12 IN REDWOOD CITY
RANKIN, THOMAS E	TR1010216021712	1008376129	03/12/12	26.69	ATTEND GOVERNANCE COMMITTEE & BOD MEETINGS - 2/16 TO 2/17/12 IN SAN FRANCISCO
REID, SCOTT K	TR101116111812	1008375344	02/28/12	223.32	ATTEND INVESTMENT & BOD MEETINGS - 11/17 TO 11/18/11 IN SAN FRANCISCO
<b>TOTAL</b>				<b>3,086.61</b>	