



333 Bush Street
 San Francisco, CA 94104
 (415) 263-5400
 www.statefundca.com

Date: November 7, 2014

TO: MEMBERS, BOARD OF DIRECTORS

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|-------------------------------------|---|
| I. AGENDA ITEM # AND TITLE : | Agenda Item 3b - Consent Calendar: Board Member Educational Opportunities and Approval of Planned Board Member Education and Expense Reimbursement |
| II. NAME AND PROGRAM: | Margo Jamerson, Learning and Development |
| III. ACTIVITY: | <input type="checkbox"/> Informational <input type="checkbox"/> Request for Direction <input checked="" type="checkbox"/> Action Proposed <input type="checkbox"/> Exploratory |
| IV. JUSTIFICATION: | <input checked="" type="checkbox"/> Standard/Required Item <input type="checkbox"/> Board Request – New Item <input type="checkbox"/> New Topic from Staff |

V. EXECUTIVE SUMMARY:

Board of Directors education should occur on a regular basis to comply with Statutory Requirements under the Insurance Code.

VI. ANALYSIS:

A list of educational providers gives Board Members choice and flexibility to obtain the required education at various events and locations. In addition, Board members can participate in other education or industry forums, including but not limited to conferences, classes, meetings or programs that will enhance the Board’s effectiveness and complies with the legislative requirement for continuing education.

VII. RECOMMENDATION:

- a. We recommend the Board of Directors approve the list of provider options.

VIII. PRESENTATION EXHIBITS: Excel spreadsheet attached

IX. APPENDIX: N/A

STATE FUND
Board Educational Opportunities

PRE-APPROVED EDUCATIONAL CONFERENCES

| Provider | Start Date | End Date | Cost | Topics | Subject |
|--|------------|----------|--------------------------------|--|-----------------------|
| SCCE Event at: San Diego, CA www.corporatecompliance.org | 12/1/14 | 12/4/14 | \$2,500 mem \$3,000 non-mem | 2014 Basic Compliance & Ethics Academy: SCCE Academies provide in-depth, classroom-style training in the essential elements of an effective compliance and ethics program. Topics include: Standards, Policies, and Procedures; Compliance and Ethics Program Administration; Communications, Education, and Training; Risk Assessment and more. | Compliance |
| The Conference Board Event at: The Conference Board Conference Center New York, NY www.conference-board.org | 12/5/14 | 12/5/14 | \$1,235 | 2014 Performance Management Seminars: "Performance Management: Best Practices for Optimal Business Results": The design of performance management processes is too often guided by past experience, "best practice" or academic frameworks. The continued failure of these processes to deliver business results can be blamed on this fact-free approach to design. In this session you will hear talent management expert Marc Efron translate that science into easy to understand practical steps that you should take when building your performance management process. | Governance |
| California Farm Bureau Federation (CFBF) Event at: Hyatt Regency Orange Cty, Garden Grove www.cfbf.com | 12/6/14 | 12/10/14 | \$50 mem \$100 non-mem | Annual Meeting: This meeting provides valuable information on current issues as well as an opportunity to connect with other farmers and ranchers who are dedicated to successfully growing California's agriculture. | Insurance |
| Consero Event at: Hayes Mansion San Jose, CA consero.com | 12/7/14 | 12/9/14 | TBD | Corporate Compliance & Ethics Forum: this forum will address the growing demands facing today's Chief Compliance Officers, providing an opportunity to share best practices, benchmark, and explore new opportunities to thrive in a challenging regulatory landscape. | Compliance |
| Institute for Healthcare Improvement (IHI) Event at: Orlando FL www.ihf.org | 12/7/14 | 12/10/14 | \$1,300 per person | The 26th Annual IHI National Forum: 2014 marks the 26th anniversary of an event that has shaped the course of health care quality in profound, enduring ways — the Annual IHI National Forum on Quality Improvement in Health Care. This conference is an opportunity to play a part in effecting real change in health care quality and safety. | Workers' Compensation |
| National Association of Corporate Directors (NACD) Event at: The Ritz Carlton Hotel Ft Lauderdale, FL www.nacdonline.org | 12/8/14 | 12/9/14 | \$3,950 mem | NACD Professionalism: This NACD director education course is essential for all board directors, particularly those new to or preparing to serve on a public company board. Whether you are looking for a comprehensive review or a rigorous immersion in all disciplines of board work, this course is designed to provide you the practical tools and leading-edge insights to keep your board ahead of persistent and emerging challenges. | Governance |
| Corporate Directors Forum Event at: University of San Diego - Joan B. Kroc Institute for Peace & Justice San Diego, CA www.directorsforum.com | 1/25/15 | 1/27/15 | TBD | Directors Forum 2015: "Directors, Management & Shareholders in Dialogue": "Directors Forum: Directors, Management & Shareholders in Dialogue" continues to uniquely bring together institutional investors, directors, management and regulators in an intimate setting created for real access and interaction between speakers and attendees. Directors Forum provides the opportunity to both listen—and be heard by—35+ top corporate governance thought leaders in the U.S. | Governance |

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| Society of Corporate Secretaries & Governance Professionals Event at: Disney's Boardwalk Inn Orlando, FL www.governanceprofessionals.org | 1/28/15 | 1/30/15 | \$1,140 mem \$1,240 non-mem | Essentials Seminar 2015: The three-day Essentials program covers the fundamentals of corporate governance and the corporate secretarial function. This program will provide attendees of public, private and non-profit companies with a solid framework, practical tips and up-to-date information on fundamentals of corporate governance, internal sources of and limits on governance authority, boards of directors, board and committee meetings, writing bullet-proof minutes, annual meeting logistics, records management and privacy issues and shareholder engagement. | Governance |
| NAMIC Event at: Wigwam Arizona Phoenix, AZ www.namic.org | 2/10/15 | 2/12/15 | \$595 mem \$895 non-mem | Claims Conference: Gather valuable information on current issues and industry trends at this annual event designed for claims professionals, claims managers, adjuster managers, claims vice presidents, and claims operations staff. With informative and interactive educational sessions, networking opportunities, and solutions offered within the exhibit hall. | Workers' Compensation |
| Society of Corporate Compliance and Ethics Event at: Grand Hyatt San Francisco San Francisco, CA www.corporatecompliance.org | 2/9/15 | 2/12/15 | \$2,500 mem \$3,000 non-mem | February Basic Compliance & Ethics Academy: SCCE's Basic Compliance & Ethics Academy is a three-and-a-half-day intensive training program. Its faculty is made up of experts in the field. Courses are designed for participants who have a basic knowledge of compliance concepts and some professional experience in a compliance function. The Academy addresses methods for implementing and managing compliance programs based on the Seven Element Approach. | Compliance |
| Society of Corporate Compliance and Ethics Event at: Hilton Scottsdale Resort & Villas Phoenix, AZ www.corporatecompliance.org | 2/13/15 | 2/13/15 | \$199 mem \$245 non-mem | Phoenix Regional Compliance and Ethics Conference: Topics covered include the Medical Marijuana Act, Building an Effective Compliance Program Scaled for a Small Organization (and a Small Legal Team), Best Practices for Conducting Internal Investigations, Best Practices in Corporate Compliance and Governance and Surviving the Era of Hack Attacks: Cyber Security on a Global Scale. | Governance |
| ISACA-North America ISRM Event at: Hyatt Regency Orlando Orlando, FL www.isaca.org | 3/16/15 | 3/18/15 | Varies by mem/non-mem and date paid | North American CACS 2015: North America CACS attracts the best and brightest with its content-rich and thought-provoking sessions that delve into some of the biggest challenges facing IT audit and security professionals. | Workers' Compensation |
| IIA & ISACA Event at: Arizona Biltmore Phoenix, AZ na.theiia.org | 8/17/15 | 8/19/15 | TBD | 2015 Governance, Risk and Control Conference: An IIA and ISACA collaboration, the 2015 GRC Conference is a multifaceted event. Register today to be provided with the Governance, Risk and Control tools you need to stay ahead of tomorrow's challenges. | Governance |
| IAIABC Event at: The Drake Hotel Chicago, IL www.iaiaabc.org | 8/31/15 | 9/3/15 | \$660 mem \$785 non-mem through 7-25-15 | 101st Annual Convention: This is the premier conference for workers' compensation professionals looking to learn more about current policy, regulatory, and administrative challenges in the industry. | Workers' Compensation |
| Compliance & Ethics Institute Event at: ARIA Las Vegas, NV www.complianceethicsinstitute.org | 10/3/15 | 10/7/15 | \$1,249 non-mem | 14th Annual Compliance & Ethics Institute: SCCE's Annual Compliance & Ethics Institute is the primary education and networking event for professionals working in compliance and ethics around the world. Learn the latest methods and strategies for developing and improving your compliance program in this rapidly growing and evolving profession. | Compliance |

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| Provider | Start Date | End Date | Cost | Topics | Subject |
|--|------------|----------|--------------------------------|--|------------|
| National Association of Corporate Directors (NACD) Event at: Four Seasons, Washington Washington, DC www.nacdonline.org | 11/9/15 | 11/10/15 | \$3,950 mem \$4,770 non-mem | Director Professionalism: NACD's Director Professionalism course—Directorship 101—equips new and aspiring directors with the knowledge needed to confidently take a seat at the boardroom table. This highly interactive two-day course is led by seasoned directors and corporate governance experts and features a mix of panel discussions, keynote presentations, case studies, and networking. | Governance |

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| Provider | Start Date | End Date | Cost | Topics | Subject |
|---|------------|----------|---|--|---------|
| ISACA-North America ISRM Event at: Orlando, FL | 3/16/15 | 3/18/15 | | North American CACS 2015 | |
| National Association of Corporate Directors (NACD) Event at: Miami, FL | 3/23/15 | 3/24/15 | | NACD Professionalism: | |
| National Association of Corporate Directors (NACD) Event at: Philadelphia, PA | 6/1/15 | 6/2/15 | | NACD Professionalism: | |
| Society of Corporate Secretaries & Governance Professionals Event at: Chicago, IL | 6/24/14 | 6/27/14 | | Society 2015 National Conference | |
| IIA & ISACA Event at: Phoenix, AZ | 8/17/15 | 8/19/15 | | Governance, Risk and Control Conference | |
| IAIABD Event at: Chicago IL | 8/31/15 | 9/3/15 | | 101st Annual Convention | |
| National Association of Corporate Directors (NACD) Event at: Washington, DC | 11/9/15 | 11/10/15 | | NACD Professionalism: | |
| NAMIC Event at: Manchester Grand Hyatt San Diego, CA | 9/27/15 | 9/30/15 | | 120th Annual Convention | |
| NACD Event at: www.nacd.org | | | BOD are NACD member's-always look for these events. | NACD Director Professionalism NACD Board Leadership NACD Master Class NACD D100 Forum | |
| Work Comp Reform IBA West Blue Ribbon Conference The California Workers' Compensation & Risk Conference California MCLE RAND Conference Division of Workers' Compensation Educational Conference | | | | | |

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Information
Technology
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TO: MEMBERS, BOARD OF DIRECTORS

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| I. AGENDA ITEM # AND TITLE : | Open Agenda Item 3b – Consent Calendar: Board Member Educational Opportunities and Approval of Planned Board Member Education and Expense Reimbursement |
| | |
| II. NAME AND PROGRAM: | Luz Blanco-Morales, Financial Operations |
| | |
| III. ACTIVITY: | <input type="checkbox"/> Informational <input type="checkbox"/> Request for Direction <input checked="" type="checkbox"/> Action Proposed <input type="checkbox"/> Exploratory |
| | |
| IV. JUSTIFICATION: | <input checked="" type="checkbox"/> Standard/Required item <input type="checkbox"/> Board Request – New Item <input type="checkbox"/> New Topic from Staff |

V. EXECUTIVE SUMMARY:

Approval of Board Member Travel Expense Reimbursement in accordance with State Fund Board Member Education and Reimbursement Policy approved September 11, 2009 and proposed revisions approved November 17, 2011.

VI. ANALYSIS:

Reports attached reflect reimbursements made to State Fund’s Board of Directors from August 23 through October 31, 2014. All payments were made in accordance with the State Fund Board Member Education and Reimbursement Policy approved on September 11, 2009 and proposed revisions approved November 17, 2011.

VII. RECOMMENDATION:

To approve Board Member travel expense reimbursement.

VIII. PRESENTATION EXHIBITS:

Report of reimbursements from August 23, 2014 through October 31, 2014.

IX. APPENDIX: N/A

BOARD OF DIRECTORS TRAVEL EXPENSE REIMBURSEMENTS AUGUST 23, 2014 - OCTOBER 31, 2014

| Board of Director's Name | Invoice # | Check/EFT # | Check Date | Net Amount | Description |
|--------------------------|-----------------|-------------|------------|------------|--|
| CHALUPA, SHERYL A | IX511227 | 276747 | 29-Aug-14 | 1,408.36 | CEO Screening Interviews; Board & Committees May Meetings; Audit Committee & Special Board Meetings (CEO Interviews); Governance Committee & Board Meetings; Executive Committee & Senior Leadership Meetings - re New President/CEO; Meeting with New President/CEO. |
| CHALUPA, SHERYL A | IX514856 | 281336 | 23-Sep-14 | 456.96 | State Fund All-Leadership Conference at Anaheim Hilton - 6/26 to 6/26/14; State Fund Board of Directors Continuing Education at Claremont Hotel Berkeley - 7/8 to 7/9/14. |
| CHALUPA, SHERYL A | IX519307 | 285969 | 24-Oct-14 | 243.04 | Audit & Governance Committee and Board of Directors/Executive Committee Strategic Planning Meetings held at Hyatt Regency Monterey - 9/23 to 9/26/14. |
| KROPKE, MARVIN P | IX510898 | 1058001148 | 29-Aug-14 | 56.29 | Board of Directors Continuing Education at Claremont Hotel Berkeley - 7/8 to 7/9/14. |
| KROPKE, MARVIN P | IX514390 | 1058001770 | 30-Sep-14 | 65.34 | Board of Directors Continuing Education at Claremont Hotel Berkeley - 7/8 to 7/9/14. |
| MACHADO, MICHAEL J | IX454883 | 1058001002 | 25-Aug-14 | 95.46 | Governance Committee Meeting and Board of Directors Strategic Planning Meeting in Napa - 10/4 to 10/5/14. |
| MACHADO, MICHAEL J | IX514394 | 1058001783 | 30-Sep-14 | 329.68 | Board of Directors Meetings at State Fund HQ (SF) - 5/14 to 5/16/14; Board of Directors, Governance and Investment Committee Meetings in Sacramento - 5/21 to 5/23/14; Board of Directors Continuing Education in Berkeley - 7/8/14; Dinner Meeting with Governor Chair & President/CEO in Sacramento - 7/22/14. |
| MULRYAN, LAWRENCE E | IX511670 | 1058001251 | 29-Aug-14 | 218.82 | Dinner Meeting with V. Steiner, M. Machado, L. Mulryan - re Board Issues in Sacramento - 7/22/14. |
| NEUREUTER, JACK L | IX516188 | 1058001882 | 30-Sep-14 | 244.72 | Board of Directors and Strategic Meetings in Monterey - 9/23 to 9/26/14 |
| QUINLAN, FRANCIS E | TR1011027102713 | 1058000999 | 25-Aug-14 | 176.80 | Board of Directors & Committee Meetings - 11/13 to 11/15/13 |
| QUINLAN, FRANCIS E | TR1011211121113 | 1058000999 | 25-Aug-14 | 456.80 | Board of Directors & Committee Meetings - 12/11/13 |
| QUINLAN, FRANCIS E | TR1011002100213 | 1058000999 | 25-Aug-14 | 359.80 | Interviewed Candidates for Chief Internal Affairs, Chief Medical Officer & Predictive Analytics and Attend CDI Update Meeting. |
| RANK, STEVEN L | IX503382 | 1058001018 | 27-Aug-14 | 706.21 | Board of Directors Meeting - 1/17/14 |
| RANK, STEVEN L | IX511789 | 1058001253 | 29-Aug-14 | 231.38 | Lunch Meeting with President/CEO in Sacramento - 7/2/14; Board of Directors Continuing Education Meeting in Berkeley - 7/8 to 7/9/14; Meeting with Chief of Workplace Safety in Berkeley - 7/10/14. |
| RANK, STEVEN L | IX506892 | 1058000835 | 11-Aug-14 | 617.49 | Loss Prevention/Claims Meeting at State Fund HQ (SF) - 2/7/14; Audit Committee and Board of Directors Meetings at State Fund HQ (SF) - 2/19 to 2/21/14 |
| RANK, STEVEN L | IX502835 | 1058000835 | 11-Aug-14 | 263.81 | Audit Committee and Board of Directors Meetings - 11/13 to 11/15/13 |
| RANK, STEVEN L | IX513202 | 1058001813 | 30-Sep-14 | 472.52 | Meeting with President/CEO - V.Steiner concerning Board of Directors subject matter at State Fund HQ - 8/19/14. |
| RANK, STEVEN L | IX518946 | 1058002305 | 31-Oct-14 | 965.47 | Presentation to NCFIA in Monterey - 4/16 to 4/17/14 |
| RANK, STEVEN L | IX515625 | 1058002305 | 31-Oct-14 | 867.12 | Audit Committee, Special Board of Directors Meetings at State Fund HQ (SF) - 5/14 to 5/16/14; Meeting with Chief Workplace Safety in Oakland - 5/16/14; Board of Directors Meeting in Sacramento - 5/22 to 5/23/14. |

BOARD OF DIRECTORS TRAVEL EXPENSE REIMBURSEMENTS AUGUST 23, 2014 - OCTOBER 31, 2014

| Board of Director's Name | Invoice # | Check/EFT # | Check Date | Net Amount | Description |
|--------------------------|-----------|-------------|------------|------------------|--|
| RANK, STEVEN L | IX518115 | 1058002305 | 31-Oct-14 | 1,035.91 | Audit Committee and Board of Directors/Executive Committee Strategic Planning Meetings held at Hyatt Regency Monterey - 9/23 to 9/26/14. |
| RANK, STEVEN L | IX518947 | 1058002305 | 31-Oct-14 | 132.84 | Meeting with Chief Workplace Safety Len Welsh concerning Cal-OSHA/State Fund/Ironworker Training Agenda & Schedule |
| REID, SCOTT K | IX519287 | 1058002309 | 31-Oct-14 | 668.23 | Board of Directors Meetings including the Special at State Fund HQ (SF) - 5/14 to 5/16/14; Continuing Education - 7/8 to 7/9/14; and Strategic Planning Meetings - 9/24 to 9/26/14 |
| RANKIN, THOMAS E | IX519309 | 286140 | 24-Oct-14 | 129.92 | Governance Committee, Board of Directors, & Board of Directors/Executive Committee Strategic Planning Meetings held at Hyatt Regency Monterey - 9/23 to 9/26/14. |
| TOTAL | | | | 10,202.97 | |